



ASIAN AMERICAN FEDERATION OF NEW YORK REPORT 2005~2007





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> The Asian American Federation of New York's mission is to advance the civic voice and well-being of Asian Americans. We collaboratively foster philanthropy in the community, undertake research to inform policies, and provide support to community service organizations.







greater challenges in serving the Asian American community. The strategic plan adopted in December 2005 provides a new direction and new milestones for the next five years. The strategic plan adopted in December 2005 confirmed our role as a federation and our on-going commitment to supporting member agencies in serving the Asian American community. Through creating structured vehicles for philanthropic investment, the plan calls for expanding our Asian American Community Fund to provide stronger financial support to human service agencies. Since its birth in 1990, the Federation has grown and evolved into a solid leadership organization, gaining wide recognition as an effective catalyst, resource and voice for the Asian American community. On May 1, 2007, we were honored to be one of five organizations in the country to receive the W.K. Kellogg Foundation's 2007 National Leadership in Action Award. We thank all those who have placed their trust and confidence in the Federation. We are grateful to our current and former board and staff members, value the support and cooperation of our member agencies, and appreciate the involvement of growing numbers of individual donors and institutional funders. Together, we are stronger in serving our community. In the coming years, we look forward to maintaining and building partnerships while also expanding our circle of friends.

Sincerely,

Yung Duk Kim, Ph.D Board Chairperson



N THE PAST THREE YEARS, the Federation achieved significant progress while overcoming notable challenges. We continued fulfilling our commitments to post-September 11th recovery and overall strengthening of the Asian American community, as well as conducted extensive strategic planning. At the same time, we were We are pleased to report that the Federation has improved its financial picture,

constrained by budget deficits in fiscal year 2005, and we devoted much attention to regaining financial stability. The Federation also underwent a transition, with half of our current board and staff members joining our organization during this period. revitalized its team, and made major strides programmatically and organizationally. Among our accomplishments: We have increased the involvement of Asian American professionals in the community through structured volunteer opportunities and organized giving efforts. Our "family" grew to include seven additional member agencies. Support from individuals and corporations rose noticeably. We also published a special report on the economic characteristics of Asian Americans in the New York metropolitan area and undertook two other substantial research studies. These research activities have enhanced the Federation's position as an authoritative source of community knowledge and a clear, strong voice of reason on policy issues affecting Asian Americans.

Building on a record of achievements, the Federation is prepared to take on

Dear Friends,

Cao K. O Executive Director



aul D.C. Huang has been directly engaged in the Asian American Community for many years through his research, active participation and voluntary contributions of time and skills. "...we should try to look deeper at the root causes of the problems in our communities. I learned that each of us alone has limited resources and impact. That is why collaborative philanthropy is so important."

Paul joined the Federation's Board in 1994 and has been a strong proponent of the Federation's work ever since. He believes in the Federation's efforts to build an even larger Asian American Community Fund that would connect community resources to community needs. As president of the C.J. Huang Foundation, Paul consistently donates to the Community Fund, having invested in our Asian communities for over 13 years.

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In 2006, Paul envisioned further growth of the Fund's scope and reach, and personally gifted \$100K to seed the Community Fund endowment. He considers the Fund to be one of the most effective philanthropic vehicles available to address community needs while meeting his own charitable interests.

Philanthropy: Linking Resources to Community Needs

"I began to realize that giving is not just charity, but really about investing in the future." Francis Y. Sogi, *life partner, Kelley, Drye & Warren*





Top: George Wang, Board Director, Michelle Tong, Donor Relations Director and Agnelo Dias, Clinic Administrator, The Child Center of New York *Left:* Jeffrey Chin, Chairman of the Board Elect Federation has a unique role among the Asian American community. The Federation has a macro-level view that enables it to link groups with resources and develop collaborations. Mr. Chin also endowed \$50K towards the Asian American Community Fund by establishing the Albert Chin Fund in honor of his father. "My father came from very humble beginnings and worked hard to make a better life for our family. He is the inspiration for all that I have achieved. This fund has been established to help enable others to also rise from humble beginnings."

eff Chin also believes that the

Since its creation in 1993, the Federation's Asian American Community Fund has provided an effective vehicle through which foundations, corporations and individuals can contribute to the community. To date, the Community Fund has raised and dispersed nearly \$2 million to more than 100 community programs through its Annual Grants Program.

During the past three years, major institutional supporters of the Community Fund included United Way of New York City, the Avon Foundation, the C.J. Huang Foundation, the Starr Foundation, and Time Warner Inc. And through a competitive application process, the Federation awarded grants to 25 member agencies totaling \$389K. Funding priorities included: sustaining critical services, responding to emerging community needs, and strengthening organizational capacity for greater effectiveness and efficiency in service delivery.

Of the grant funds allocated, 30%

went to support various elder care programs such as a mental health project for Filipino American seniors, an initiative to promote health literacy and health care access among low-income Chinese and Vietnamese elderly, and English language and computer skills training for Korean American seniors in Flushing.

imilarly, 20% of the grant funds were allocated to programs serving children and families, including an after school program for Korean American children whose mothers were victims of domestic violence, a Saturday program for at-risk youth in Flushing, and an education and economic empowerment initiative for new South Asian immigrants.

Approximately 33% of the grant funds were invested in agencies' infrastructure, from providing in-house staff training to seeding a new staff position in fundraising and from improving technological capabilities to enhancing financial managers.

In 2007, \$185,000 was allocated to 19 member agencies as follows:

AGENCY	GRANT PURPOSE	GRANT AMOUNT
Asian & Pacific Islander Coalition on HIV/AIDS	To support an agency-wide operational planning effort to build the capacity of its primary care clinic & to integrate various service components needed to streamline its operations.	\$ 5,000
Asian Professional Extension	Built on a Taproot Foundation service grant used to implement a donor database.	\$10,000
Brooklyn Chinese-American Association	To build staff capacity whereby improving the skill and knowledge base of staff in the expanded role of housing advocacy.	\$10,000
Charles B. Wang Community Health Center	To train staff, who will in turn, train others to implement and expand the Chronic Care Model of disease management.	\$ 5,000
Chhaya CDC	To subsidize development staff used to enhance individual donor fundraising efforts.	\$10,000
Chinese-American Planning Council	To build capacity within staff development, with a focus on training agency managers.	\$ 5,000
Chinese Progressive Association	To develop advocacy by engaging immigrant parents as volunteer community advocates, organizers, and leaders.	\$10,000
Coalition for Asian American Children & Families	To sustain a Health Advocacy Project that aims to promote health access for Asian Pacific American children & families in NYC.	\$ 7,500
Filipino American Human Services Inc.	To sustain a Senior Support Program, where budget cuts combined with an increase of elderly clients rendered critical service needs.	\$10,000
Greater Chinatown Community Association	To support critical services such as acupuncture therapy and computer training for employment.	\$10,000
Hamilton-Madison House	Capacity building support to fund a new 3-year strategic plan, including a critical element in executive transition.	\$ 5,000
Indochina Sino-American Community Center	To promote health literacy and health care access through health education, outreach, and health screening.	\$10,000
Japanese American Social Services, Inc.	Special challenge grant used to match fundraising effort.	\$20,000
Korean American Family Service Center	To sustain critical services for the Hodori "Little Tiger" afterschool program.	\$10,000
Korean Community Services of Metropolitan New York	To provide a Saturday School Program for at-risk Asian American youths in Flushing.	\$10,000
Lower East Side Family Union	To sustain an English as a Second Language Class for Parents funding.	\$ 6,000
SAKHI for South Asian Women	To build capacity through a major gift fundraising strategy.	\$ 9,000
South Asian Council of Social Services	To sustain its Parents Empowerment Program to equip parents through English as a Second Language classes and educational system workshops.	\$10,000
South Asian Youth Action	To support the funding needed to hire a Development Manager.	\$10,000
Young Korean American Service & Education Center	Grant requested as a matching fund to expand the language capacity Education Center of its comprehensive legal clinic.	\$ 7,500
YWCA of Queens	To build physical assets for the newly licensed Evergreen Healthcare Program, a homecare program for the elderly.	\$ 5,000
TOTAL:		\$185,000

In 2006, \$110,000 was allocated to 13 member agencies as follows:

AGENCY	GRANT PURPOSE	GRANT AMOUNT
Brooklyn Chinese-American Association	To build staff capacity whereby improving the skill and knowledge base of staff in the expanded role of housing advocacy.	\$10,000
Chhaya CDC	To subsidize development staff used to enhance individual donor fundraising efforts.	\$ 5,000
Chinese Methodist Center Corporation	To supplement salary of a Program Director to assist the Executive Director in operations and programming.	\$ 5,000
Coalition for Asian American Children & Families	To sustain Education Advocacy Project that aims to promote educational equity for Asian Pacific America students.	\$10,000
Filipino American Human Services Inc.	To continue mental health support group services to 90 elderly Filipino Americans in Jamaica, Woodside/Jackson Heights areas.	\$10,000
Indochina Sino-American Community Center	To strengthen organizational capacity through coalition building, capacity building training, and strategic planning with community organizations serving Vietnamese community in NYC.	\$10,000
Korean-American Counseling Center, Inc.	To supplement an ongoing ESL program with computers.	\$10,000
Lower East Side Family Union	To support two series of parenting workshops to serve poor Asian American family members in Queens.	\$ 5,000
Sakhi for South Asian Women	For a comprehensive fundraising strategy initiative.	\$10,000
South Asian Council for Social Services	To support education and economic empowerment initiative for new South Asian immigrants in NYC.	\$10,000
South Asian Youth Action	To support a Development Associate position which helps build the organization's capacity for financial sustainability.	\$10,000
Young Korean American Service & Education Center	To increase organizational campaign capacity on "Advancing Immigrant Rights through Civic Participation".	\$10,000
YWCA of Queens	To sustain a critical youth education program, YW Access— a holistic GED program providing academic, psychological, and vocational support.	\$ 5,000
TOTAL:		\$110,000

Spirit of Asian America Gala 2007

In 2005, \$94,000 was allocated to 11 member agencies as follows:

AGENCY	GRANT PURPOSE	GRANT AMOUNT
Asian & Pacific Islander Coalition on HIV/AIDS	To develop the capacity of clinic's operational infrastructure.	\$ 7,000
Asian Professional Extension	To launch a marketing and capacity-building initiative.	\$ 4,000
Chinatown YMCA	To strengthen fundraising capacity, including fee for service and volunteer opportunities.	\$ 8,000
Coalition for Asian American Children and Families	To strengthen non-profit governance through strategic planning, board & staff development, database upgrade, legal advice.	\$ 6,000
Filipino American Human Services, Inc.	To provide direct program support for Filipino at-risk youth programs.	\$10,000
Greater Chinatown Community Association	To sustain ongoing services for seniors for which funding was drastically reduced.	\$ 7,500
Indochina Sino-American Community Center	To build coalitions with community-based organizations serving the Vietnamese communities.	\$ 7,000
Japanese American Social Services, Inc.	To underwrite audit fee.	\$ 3,000
Korean American Family Service Center	To sustain special activities of the Hodori "Little Tiger" Program for children with special needs.	\$10,000
Lower East Side Family Union	To support parent education workshops that empower immigrant Asian parents in Queens.	\$ 4,000
New York Asian Women's Center	To fund technology infrastructure that would enhance capacity to communicate with external constituents.	\$ 4,000
Sakhi for South Asian Women	To redesign website element of new project, Communities Take Charge.	\$ 6,000
South Asian Youth Action	To fund a full-time Finance and Administration Associate.	\$10,000
Young Korean American Service & Education Center	To utilize civil engagement and voter empowerment efforts to push for comprehensive immigration reform.	\$ 7,500
TOTAL:		\$94,000

ALLOCATIONS CC Sunil Wadhwa,Ala Prudential Founda and Alison Yu of W Member agenc Federation RFP's u of Asian American in membership sho hlee@aafny.org.

ALLOCATIONS COMMITTEE: Federation board members: Jeff Chin, Grace Lyu Volckhausen, and Sunil Wadhwa, Alan Okada of Citicorp Foundation, Lisa Philp of JPMorgan Chase & Co., Lata Reddy of Prudential Foundation (2005), Penny Fujiko Willgerodt of Rockefeller Philanthropy Advisors (2005), and Alison Yu of William Randolph Hearst Foundation.

Member agencies interested in applying for a Community Fund grant should complete and return Federation RFP's upon receipt in April. Nonprofit organizations advancing the human service interests of Asian Americans through direct service, public policy and/or advocacy work and who are interested in membership should contact Hong Shing Lee, Chief Operating Officer: 212.344.5878, ext. 17 or e-mail:



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A NEW HERITAGE OF GIVING

a member of the Coalition for New Philanthropy which promotes sustained and effective philanthropy in communities of color. Other members of the coalition include the Hispanic Federation, The Twenty-First Century Foundation, the New York Regional Association of Grantmakers, and the Center on Philanthropy and Civil Society at the Graduate Center of the City University of New York. The W.K. Kellogg Foundation, the Carnegie Corporation, the Charles Stewart Mott Foundation and New Ventures in Philanthropy have consistently supported the coalition's work.

n connection with its coalition membership, the Federation has continued promoting A New Heritage of Giving, a philanthropic initiative that encourages and provides opportunities for Asian Americans to share their time, talents and financial resources with community organizations. Through speaker bureaus, presentations, networking events, giving circles and a first of its kind philanthropy Web site (www.asianamericanphilanthropy.org), the Federation strives to increase understanding of strategic philanthropy for and by Asian Americans.

In a significant development, the Federation helped facilitate the creation of AsiaNextGen, a giving circle of young professionals in 2004 and has provided in-kind administrative support, including fiscal sponsorship. Members of AsiaNextGen meet monthly to explore common fields of interest and gain an in-

depth understanding of social investing. The circle seeks to address community needs and engage in high impact philanthropy by coming together and strategically funding issues of interest.

In its first year, five members of Asia-NextGen raised \$20,000 for the Asian Outreach Program of the Child Center of New York, formerly the Queens Child Guidance Center. This funding enabled the Center to hire two part-time psychiatric social workers to handle an additional 16 cases each and shorten the agency's waiting list of children by more than 30 cases. Furthermore, in-kind donations such as computers and office equipment were also donated and a volunteer outing of employee volunteers from JPMorgan helped repaint the entire clinic! By 2006. the circle had grown to 12 members. In 2007, donations will be pooled to address elder care and senior services.



Frank Feinberg, Michael Tong, and Yin Law, 2007 scholarship winner

" I try to never forget how fortunate I am to have grown up with such comforts as shelter, clothing, abundant food, education, support and companionship from my family, complete access to mental and physical health assistance, and many other opportunities. *I now give to provide others* opportunities-such as housing, food, education, companionship, and mental health assistance, that I have been lucky enough to have had my entire life."

Rebekah Lee AsiaNextGen Member VOLUNTEERISM agencies:

Asian American Volunteers and Philanthropy Discussion. On May 17, 2006, a panel of Asian American attorneys who volunteer their time and skills in their spare time addressed a group of Partners and Associates at Cadwalader, Wickersham, and Taft, LLP. The event gave associates firsthand insight on how to get involved with community nonprofits.

Asian American Professionals Partnering with Nonprofits. Members of JPMorgan AsPIRE, the Employee Network for Asian Pacific Islander group, helped paint the offices of the Asian Outreach Program of the Child Center of New York in Elmhurst, Queens on June 10, 2006.

SCHOLARSHIP FUND In addition to expanding its outreach to Mr. and Mrs. Feinberg wanted to tie

corporate professionals and students, the Federation has formed new relationships with individual donors to help them fulfill their philanthropic goals. For example, in June 2005, the Federation assisted Frank and Mildred Feinberg, in establishing the Michael Tong Culinary Scholarship Fund. two of their interests, financial support and Asian American affairs, into a phil-

romoting volunteerism also has been a focus of the Federation's philanthropy initiative. Working with Asian American corporate affinity groups, the Federation coordinated employees to assist community-based

MICHAEL TONG CULINARY

anthropic giving opportunity. Frank Feinberg, a retired wine importing, exporting and distribution professional, and his wife decided to sponsor a \$5,000 culinary scholarship annually for five years for Asian American students at the Cornell University School of Hotel Administration.

The scholarship was named for Mr. Feinberg's longtime friend and customer. Michael Tong, proprietor of the Shun Lee restaurants in New York City. "When I started in this business in the 1960s. Michael was the first and only person who signed on with us for whatever we wanted, no questions asked," Mr. Feinberg explained.

Michael Tong Culinary Scholarships are awarded based on academic, extracurricular and professional achievements, as well as financial need. The 2007 winner was Yin Law, currently in his second year at the School of Hotel Administration. The 2006 winner was Andrea Quach, currently a senior at the School of Hotel Administration, and the 2005 recipient was Sybil Yang, a 2005 graduate of the Master of Management in Hospitality program.

To learn more about creating a Fund. please call Michelle Tong, Donor Relations Director: (212) 344-5878, ext. 24 or e-mail: michelle@aafny.org.





he Federation supports community organizations that are in the front line. "I don't have to spend time wasting and thinking about things that I am not good at, I will focus on what I am good at. And, the Federation helps me focus on what funding needs to be focused at, impact on measure, technical assistance

provided and even helping us to be aware of issues that we might not be aware of... The Federation helps us see the forest, so the trees will grow much better." David Chen, Executive Director, Chinese-American Planning Council, Inc.

As an essential resource for Asian American nonprofit organizations, the Federation offers advice and assistance in nonprofit management to its member agencies, in areas such as fundraising, program planning and organizational development. In addition, the Federation disseminates relevant information and conducts briefings on issues of concern to member agencies and their constituencies.



Capacity Building: Supporting Asian American Nonprofit Agencies

"Independent organizations within the Asian American community don't have the capacity to broker relationships with big foundations or even individual donors, so that's what the Federation does, it's a path breaker."

Annetta Seecharran. Executive Director, South Asian Youth Action



Cao O with Patricia Smith, Commissioner, Department of Labor and David Hansel, Commissioner, Office of Temporary and Disability Assistance

In fiscal years 2005 to 2007, the Federation welcomed seven member agencies: Afghan Communicator, Chhaya Community Development Corporation, DAMA-YAN Migrant Workers Association, Inc., Immigrant Social Services, Inc., Korean American League for Civic Action, Korean Family Counseling and Research Center, and South Asian Council for Social Services. The Federation provides funding, support, and special services to 40 member agencies.

FUNDRAISING

The Federation has enlisted a number of agencies individually on fundraising matters through sharing of information on

fundraising opportunities as well as consultation on specific needs. The range of requests for assistance included advice on developing an individual donor campaign, emergency funding, fundraising staffing, managing a fundraising event, and long-range fund development strategies.

ith a grant from the New York Community Trust, the Federation offered fundraising training in Summer 2005. Board members and executive directors of six member agencies participated in six intensive halfday workshops designed to help them enhance their knowledge and sharpen skills. The training covered topics including creating an annual fundraising plan, expanding an individual-giving program, improving grant-seeking strategies, increasing the board's role in fundraising, and strengthening fundraising operations

TECHNOLOGY SUPPORT

The Federation's technology services have fulfilled over 200 service requests via email, on-site, and telephone, totaling over 400 hours of agency support. We have assisted over 32 different community-based agencies that range from advocacy to direct services dealing with youth, elderly, and domestic violence survivors to housing, arts, medical, and professional associations. Technology support services included:

- Web site maintenance
- Installation and networking of laser printers/photocopiers
- Diagnostics and repair of workstations running Windows XP/2000/NT
- Training computer liaisons to use various mission critical back-up software
- Ethernet installation for a computer lab that consisted of 20 plus computers running Windows 2000
- Consulted and implemented an internally hosted email system running Windows based Exchange 2003

FISCAL SPONSORSHIP

Each year, the Federation offers in-kind fiscal sponsorship to young community groups that are unincorporated, awaiting approval of their federal tax-exempt

applications, or do not have internal resources to manage their funds. During the past three years, the Federation served as fiscal sponsor to AsiaNextGen, Desis Rising Up and Moving (DRUM), Legal Access Network for South Asians, Nodutdol for Korean Community Development, South Asian Health Project, and the South Asian Bar Association of New York.

Nonprofit Governance At the encouragement of the chairman and ranking member of the U.S. Senate Finance Committee, the Independent Sector convened the Panel on the Nonprofit Sector in October 2004 to thoroughly examine nonprofit-governance issues. The panel culminated its work in a report to Congress, Strengthening Transparency, Governance and Accountability of Charitable Organizations in June 2005. Federation Executive Director, Cao O contributed to this effort by serving on a panel workgroup.

agencies on April 19, 2005.

Health Policy At a Federation sponsored event on July 13, 2005, member agencies heard from leaders of the Asian Pacific Islander American Health Forum (APIAHF), a national health-policy organization based in San Francisco. APIAHF president

ISSUE BRIEFINGS

The Federation kept member agencies abreast of the panel's activities and encouraged them to participate in the panel's field meeting in New York City. In addition, Jon Small, then president of the Nonprofit Coordinating Committee of New York, was invited to share governance advice with Federation member

Dr. Ho Tran and consultant Yvonne Lee discussed the organization's national Asian American health-policy agenda and related issues.

Immigration Policy

he Federation hosted an immigration-policy briefing for 😻 💯 the community on January 17, 2006. Karen Narasaki, President and Executive Director of the Asian American Justice Center, a national civil rights organization, and J. Traci Hong, then the center's Director of Immigration Program, reviewed immigration-related legislative proposals and discussed the need for advocacy to counter pending anti-immigrant measures. These panelists were joined by Norman Eng, Communications and Research Coordinator of The New York Immigration Coalition, who spoke on local advocacy efforts.

POLICY FORUMS WITH STATE OFFICIALS

On May 31, June 22, and August 3, 2007. the Federation organized forums for service providers from the Asian American Community to meet four state officials: Michael J. Burgess, Director for the New York State Office for the Aging; Patricia Smith, Commissioner of the Department of Labor: David Hansell, Commissioner of the Office of Temporary and Disability Assistance; and Gladys Carrión, Commissioner of the Office for Children and Family Services. The agencies shared their priorities, while the service providers explained their challenges when assisting their clients.





ost people think of Asian America as having a tremendous success rate; and, in fact, the model Asian American image hurts us because we don't get the help that we need because people say that we don't need the help." Larry Lee, Board

Member, Coalition for Asian American Children and Families. In November 2005, supported by the Citigroup Foundation, C.J. Huang Foundation and AT&T Foundation, the Federation's Census Information Center produced a special report on Economic Characteristics of Asian Americans in the New York Metropolitan Area. The Federation found that within New York City, Asians have higher poverty rates than the general population. And, for people age 65 to 74, poverty rates were 18 percent for Asians compared to 10 percent for the general population. Those older than 74, had increased poverty rates which were 21 percent for Asians and 12 percent for the ECONOMIC CHARACTERISTICS general population. This report was OF ASIAN AMERICANS the first comprehensive report IN THE NEW YORK METROPOLITAN AREA examining the work, income and housing characteristics of the rapidly-growing Asian American population in the region.



Research: Increasing Understanding and Informing Policies

"The release of the Federation's marker profile of Filipino Americans in New York City is significant because there are very few research studies and analyses of the Filipino population in the United States. The Federation's demographic portrait will be an invaluable tool for the Consulate General in developing programs and activities responsive to the needs of Filipino Americans in New York." Cecilia B. Rebong, Philippine Consul General, July 1, 2004



Howard Shih and Carol Peng of the Federation at the Asian Pacific American Heritage Festival in Union Square

he Economic Characteristics report was unveiled at a public forum hosted by Citigroup on Nov. 14, 2005. The event included a panel discussion with Howard Shih from the Federation's CIC; May Chen, General Manager of UNITE Local 23-25; Rae Rosen, Senior Economist and Assistant Vice President of the Federal Reserve Bank of New York; Rosario May Mayor, then President-Elect of Philippine Nurses Association of America; and Sunil Wadhwa, a Federation Board Member and Director of Client Services of Gravitas Technology Inc. The Federation also presented report highlights to members of the White House Initiative on Asian Americans and Pacific Islanders during their visit to New York City in August 2005 and the China Institute in America on April 18, 2006.

The Federation believes in its research program to help serve two purposes: to expand understanding of Asian Americans' needs and concerns, and to address public policy affecting the community. In fiscal years 2005-2007, the Federation pursued a very active research agenda with a number of groundbreaking studies.

CHINATOWN ECONOMIC RECOVERY ith funding from the Carnegie Corporation, Cathay Bank Foundation, C.J. Huang Foundation, and JPMorganChase Foundation, the Federation undertook its third post-September 11th Chinatown study. The purpose was to assess the current status of businesses and potential for economic revitalization in Chinatown. Guided by an advisory committee of economists as well as business and civic leaders, the research team extensively surveyed businesses, analyzed administrative data from official sources, and interviewed business leaders. The Federation plans to report on its findings and make policy recommendations in Fall 2007.

POST-SEPTEMBER 11TH CHILDREN'S MENTAL-HEALTH SERVICES

The Federation received a grant from New Yorkers for Children to evaluate post-September 11th mental-health support services for Asian American children in New York City. Researchers interviewed more than a dozen community-based social and mental-health service providers and analyzed data from the American Red Cross, the New York City Department of Health and Mental Hygiene, and Asian LifeNet of the Mental Health Association of New York. Intended to inform mental-health intervention for Asian American children, the report is expected to be released in Winter 2007.

CENSUS INFORMATION CENTER

As the only federally-designated Census Information Center (CIC) in the Northeast

a vital source of demographic data on Asian Americans. Completing a series of 19 Census Profiles in 2005, the Federation greatly broadened public access to census data and analysis concerning Asian Americans. The profiles were widely distributed, and a databank was created at www. aafny.org/cic. The Federation discussed two of its profiles at community briefings on, the Filipino American population on July 15, 2004 at the Philippine Consulate and the other on Japanese Americans on Sept. 10, 2004 at Japan Society. The Federation also presented census information on the New Jersey Asian American population with AT&T employees at their headquarters in New Jersey on September 9, 2004.

As a standing member of the CIC



focused on Asian Americans and Pacific Islanders, the Federation's CIC has been

Steering Committee, which advises the U.S. Census Bureau on surveys and strategic direction, the Federation contributed to the bureau's development of the American Community Survey, a nationwide poll that will replace the long form used in decennial censuses. The Federation also played a pivotal role in urging comprehensive in-language programs to reach ethnic-specific Asian American populations. In addition, the Federation provides training sessions on accessing. using, and understanding census information to the community. Howard Shih, the Federation's Census Information Center Data Manager, has been the committee chair since February 2007.





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fter the Indian Ocean tsunami in December 2004, the Federation witnessed an outpouring of concern for victims. In addition to joining in a community-based fundraising effort in March 2005, the Federation conducted preliminary outreach to affected communities in New York City. Information gathered suggested that a more thorough needs assessment was warranted. Supported by the Ittleson Foundation and United Way of New York City, the Federation quickly assembled a multilingual Tsunami Response Team to contact the Indonesian, Indian, Sri Lankan and Thai American populations in the New York area because service providers lacked relevant community ties and language capabilities to work with affected individuals and their family members.

In a five-month intensive outreach effort, the team conducted in-depth interviews with families who had been affected directly and documented their losses. Immediate needs required included financial assistance, legal consultation and mental-health counseling. Findings were published in a New York Regional Association of Grantmakers report titled Rebuilding Lives: The Philanthropic Response to the Indian Ocean Tsunami of 2004, available at www.aafny.org/pdf/NYRAG.pdf.

Special Projects: Responding to Emerging Community Needs

"[The Federation] gives companies like Ernst & Young an opportunity to match Ernst & Young's employees with needs that these member organizations have... ways to give back to the community, ways to network, ways to get more satisfaction out of their day to day lives... the Federation is truly a catalyst."

Ekta Singh, Principal, Ernst & Young LLP and Federation Board Director



The Federation develops new program initiatives to address developing community issues as needed. By leveraging resources and expertise, the Federation spearheaded several substantial collaborative projects in the past three years.

POST-SEPTEMBER 11TH RECOVERY In its 2003 landmark study, Asian American Mental Health: A Post-September 11th Needs Assessment, the Federation found that Asian Americans affected by the World Trade Center tragedy experienced long-term emotional consequences and this population segment lacked appropriate mental-health care. The Federation recommended community-wide measures to increase the availability of culturally suitable services.

To respond to the critical service gap, in early 2005 the Federation launched a two-year project with Asian LifeNet of the Mental Health Association of New York and the South Asian Council for Social Services. With more than \$500,000 in funding from the American Red Cross, the project has provided mental-health training to social service staff at agencies serving senior citizens in Chinatown, mental-health screening for seniors. service referrals, and mental-health counseling for South Asian families of September 11th victims. The Federation has trained 350 agency workers at more than 30 Chinatown senior program sites; compiled informational resources, such as a directory of mental-health professionals and related articles; and formed an advisory council. The Federation and the Mental Health Association of New

York facilitated the Asian American Geriatric Mental Health Workgroup, which coordinates service delivery and policy advocacy to the wider community.

SERVICE PLANNING AND DEVELOP-MENT FOR ELDERLY RESIDENTS OF FLUSHING, QUEENS

With support from the United Hospital Fund, the Aetna Foundation, the Starr Foundation, and New York City Councilman John Liu, the Federation initiated a project to enrich supportive services for elderly Asian Americans in Flushing.

The first phase of the project involved mapping of a geographic area that has a high concentration of Asian elders and that meets other New York State requirements for a naturally occurring retirement community. The Federation convened a consortium of elderly service providers and together conducted a preliminary assessment of social service and healthcare needs among Chinese, Korean, and South Asian American elders through six focus-group sessions and more than 60 face-to-face surveys. Based on the result, the Federation and collaborating agencies designed a prototype project to provide comprehensive social service case and health-care management for the community of Flushing Asian American seniors.

The partnership of Korean Community Services of Metropolitan New York Inc., the Chinese-American Planning Council -Queens Branch, the South Asian Council for Social Services. Hamilton-Madison House, and the Taiwan Center are seeking funding to launch this initiative.





ASIAN ELDERLY ENTITLEMENT AND OUTREACH EDUCATION CAMPAIGN The Federation teamed with the New York City Department for the Aging to offer training to improve service providers' ability to help elderly Asian Americans access public benefits and clarify issues concerning the new Medicare Part D enrollment. On January 23 and April 13, 2006, experts from the Department for the Aging held a training session and a panel discussion for more than 40 agencies

In Spring 2007, the Federation assisted the City Department for the Aging in New York Fund in producing a Chinese and a Korean booklet on New York City resources for seniors-How to Get Them: A Benefits Guide for Older New Yorkers. Enlisting the help of member agency Korean Community Services of Metropolitan New York, Inc., the Federation provided meaningful feedback and coordinated proofreading efforts to make New York City's services and benefits more accessible to Asian American elderly.





any service providers have observed that Asian children and teenagers are highly prone to depression. Pressure to succeed in school, family conflicts, women's sense of identity and self-worth in the family, as well as stress associated with being new immigrants have been mentioned as factors contributing to depression." Executive Director Cao O, December 7, 2006 testifying on the high rates of attempted

suicide among Asian and Hispanic women at a joint hearing of the New York State Assembly Committee on Mental Health, Mental Retardation and Developmental Disabilities, the Puerto Rican/ Hispanic Task Force, and the Task Force on Women's Issues. Drawing upon the Federation's research and programs in the areas of mental health and elder care, his testimony illustrated the unique emotional difficulties faced by Asian women, both young and old. He also offered a series of recommendations towards improving care and access to services.



Assembly Mental Health Committee Chairman, Peter Rivera worked with the Federation and other community organizations to explore progress development for suicide prevention. With funding appropriation by the state legislature in early 2007, the State Office of Mental Health will be implementing a suicide prevention and public education campaign. The Federation will continue to work with member agencies on developing appropriate program strategies that will compliment the state's campaign.

ubsequent to the public hearing,





very year, the Federation's celebration of Asian Pacific recognition of outstanding

and contributors to our communit to pay tribute to Arvind Raghunat Global Arbitrage, Deutsche Bank; MCM Products AG; Farooq Kathwa Executive Officer, Ethan Allen Inte Founder and Chairperson, Septem raised support the Federation's wor the fastest growing population in a Funds also help support our servic domestic violence prevention, after and healthcare for the elderly.

Spirit of Asian America Gala is held in	
c American Heritage Month and in	
g individuals who are successful leaders	
ties. In 2007, we were honored	
than, Managing Director and Head of	
Sung-Joo Kim, Chairperson,	
vari, Chairman, President, and Chief	
eriors Inc.; and Haruko Smith,	
ber Concert Foundation. Proceeds	
rk in the Asian American community,	
and around the metropolitan area.	25
ces to member agencies in areas such as	
rschool programs for at-risk youth,	



Federation board, staff and 2006 gala honoree, Gina Lin Chu at the New York Stock Exchange, May 10, 2006

Additional Highlights: 26 |

Public Hearing of the President's Advisory Commission on Asian Americans and Pacific Islanders, Philadelphia July 20, 2004

Federation Executive Director Cao O testified before the commission on providing equal economic opportunities for full participation of Asian American and Pacific Islander businesses.

"Pathways for Change" Conference of the Coalition for New Philanthropy

Sept. 27, 2004

Board member Lisa Murphy participated as a panelist at the conference.

Mayoral Proclamation on the 30th Anniversary of Lau vs. Nichols

Dec. 3, 2004

The Asian Languages Bilingual Education Technical Assistance Center chose Cao O to accept New York Mayor Michael Bloomberg's proclamation celebrating the landmark Supreme Court decision at the center's 12th annual forum for educators of Asian Americans learning English.

Luncheon Discussion with German State Secretary for Seniors, Families and Youth Feb. 7, 2005

Cao O was among a select group of New York City officials and nonprofit executives invited by the Friedrich Ebert Foundation to discuss conditions for elderly New Yorkers with Christel Riemann-Hanewinkel, German State Secretary.

Conference of the Asian American Network for Cancer Awareness, Research and Training

March 24, 2005

Carol Peng gave a presentation on research and communitybased partnerships involving health.

Spirit of Asian America Gala 2005 May 2, 2005

The Federation celebrated its 15th year at its annual black-tie gala at Cipriani 42nd Street. The event recognized the accomplishments of Chan Suh, chairman of Agency.com; Dr. C.J. Huang, founder of the C.J. Huang & Ou Yang Yu foundations; and Dr. Jeanette Takamura, dean of the Columbia University School of Social Work.

Visits with New York City Mayoral Candidates May 24, 2005 and June 16, 2005

Manhattan Borough President C. Virginia Fields and former Bronx Borough President Fernando Ferrer, both running for New York City mayor, visited the Federation on these dates, respectively.

Social Integration of Multicultural Families, met with Federa-The candidates conversed with the executive directors of several Asian American cultural and human service organizations. tion staff to discuss social integration of multi-cultural families in Korea

New York City Hall visit of the Taipei Commissioner of Social Welfare

Aug. 18, 2005

At a Federation arranged meeting consisting of James C.T. With generous support from the Citigroup Foundation, the Hsueh, Commissioner of the Department of Social Welfare of Federation released the sixth edition of its Human Services the City of Taipei, Taiwan, and his delegation, New York City's for Asian Americans in Metropolitan New York, profiling more social service-contracting system was discussed. The visitors agencies than ever before. met with Marla Simpson. Director of the Mayor's Office of Contract Services; Michael Stoller, Executive Director of the Human Member Agency Reception Services Council of New York City; and David Chen, Executive November 9, 2006 Director of the Chinese-American Planning Council. The Federation held a special membership event to present

Sake-Tasting Event

Dec. 6, 2005

Guests filled Megu's Kimono Bar and Imperial Room to sample more than 30 selections of sake and Japanese rice wine served alongside gourmet Japanese cuisine. Corporate sponsors included Young Moriwaki & Greenfader, LLP; LEXCO Wealth May 1, 2007 Management, Inc.; and Holtz Rubenstein Reminick.

National Meeting on Diversity-Focused Funds and Organized Philanthropy, San Francisco

Jan. 12-13, 2006

Federation board member Paul Huang presided as a panelist.

Donor Reception at Madison Square Garden Feb. 5, 2006

The Federation hosted a "thank you" reception for donors at a Knicks vs. Rockets basketball game. Guests enjoyed the event in a skybox courtesy of the New York Knicks.

Spirit of Asian America Gala 2006 May 9, 2006

The Federation recognized the hard work and extraordinary achievements of Dinyar S. Devitre, senior vice president and chief financial officer of Altria Group, Inc.; Dow Kim, then executive vice president of Merrill Lynch and Gina Lin Chu, philanthropist. Richard C. Holbrooke, former U.S. Ambassador to the United Nations presented awards and Connie Chung, former co-anchor of CBS Evening News and MSNBC's Weekends with Maury and Connie, hosted as mistress of ceremonies.

Opening of the New York Stock Exchange May 10, 2006

In celebration of Asian Pacific Heritage Month, Federation Board and staff opened the New York Stock Exchange with Gina Lin Chu, a 2006 Gala honoree who rang the Opening Bell[™].

Korean Social Workers visit the Federation September 20, 2006

Representatives from the Korean-based Support Service for

Newest Edition of Human Services Resource Directory November 2006

its strategic plan to and seek involvement from its member agencies. Nearly 100 individuals from twenty-eight agencies attended.

Federation awarded the 2007 National Leadership in Action Award by W.K. Kellogg Foundation

In recognition of the significant strides made within its philanthropy programs, the Federation received the prestigious National Leadership in Action Award from the W.K. Kellogg Foundation. The Federation was one of five organizations from across the country to receive this prestigious award, recognizing and celebrating outstanding philanthropic work and nonprofit leadership in communities of color.

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Jeffrey Chin succeeds Yung Duk Kim, Ph.D. as Federation **Board Chairman**

July 1, 2007

Jeffrey Chin was elected the new Chairman of the Board. "I am honored that my colleagues have elected me as Chairperson. I believe that the Federation is in a prime position to make big strides in the next few years." Jeffrey Chin

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YWCA of Queens

Flushing YMCA Korean Program

Hamilton-Madison House

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Center of New York

New York Asian Women's Center

The Philippine Nurses Association

Sakhi for South Asian Women

South Asian Youth Action



FINANCIAL REPORT:

PUBLIC SUPPORT & REVENUE	6-07	5-06	4–05
■ Foundation and Corporate Grants	\$ 616,404	\$ 717,606	\$ 608,883
Government Grants	0	50,000	0
Benefit Dinner	751,775	646,026	386,275
Individual Contributions	244,833	65,908	89,458
Income collected on behalf of other agencies	69,829	292,911	204,460
Workplace Campaigns	14,540	26,964	22,602
Interest Income and Fees	7,289	6,064	9,638
Miscellaneous	2,512	309	4,212
TOTAL SUPPORT AND REVENUE	\$1,707,182	\$1,805,788	\$1,325,528
*Year Ended June 30th			

FUNCTIONAL EXPENSES	6-07	5-06	4–05
Program Services:			
Agency Services (Expenses incurred on behalf of other agencies, \$69,829 for FY 2007, \$292,911 for FY 2006 and \$204,460 for FY 2005)	\$ 342,852	\$ 474,815	\$ 639,670
Policy and Research	320,934	597,062	367,551
Philanthropy and Community Fund	441,837	343,358	233,035
TOTAL PROGRAM SERVICES	\$1,105,623	\$1,415,235	\$1,240,256
Supporting Services:			
Administration	\$ 119,286	\$ 104,408	\$ 152,138
Fundraising	226,608	214,352	228,206
Total Supporting Services	345,894	318,760	380,344
TOTAL EXPENSES	\$1,451,517	\$1,733,995	\$1,620,600
CHANGES IN NET ASSETS	255,665	71,792	(295,073)
NET ASSETS: BEGINNING OF YEAR	80,183	8,391	303,464
NET ASSETS: END OF YEAR	\$ 335,848	\$ 80,183	\$ 8,391

HOW YOU CAN HELP:

ANNUAL FUND: donors can contribute to their beloved city by supporting our work within our Asian communities. Funds raised will help meet the changing needs of the Asian population and allow donors to participate in solving on-going as well as new difficulties afflicting our communities.

a lasting legacy.

GIVING CIRCLES: donors seeking to help specific groups or causes may choose to pool their donations and engage in higher impact philanthropy by coming together and strategically funding issues of similar interest. Donors actively participate in the distribution of grants.

All gifts are irrevocable and may be made in the form of cash, securities, and mutual fund shares. (Other types of assets will also be considered on a case by case basis).

Donors may choose to name their fund after someone special (e.g.: in memoriam) or a family (e.g.: The Chang Family Fund), or remain anonymous.

■ The Federation looks after your fund(s) and reports on all contributions made, grants distributed and current value.

A nominal administration fee to administer trust expenses are paid directly from the fund.

If you would like more information or to discuss your charitable interests, please call Michelle Tong, Donor Relations Director: (212) 344-5878, ext. 24 or e-mail: michelle@aafny.org.

Types of Funds:

ENDOWMENT FUND: donors can establish a permanent funding source to provide annual support to a charity or charities or to a specific cause while creating

FIELD OF INTEREST: donors fund issues close to their hearts such as elder care, child welfare, civil rights, or scholarships. Grants are then distributed to meet the needs within that particular field.

All gifts qualify for immediate federal income, estate and gift tax deductions.

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CAROL PENG, MSW (2002–2006) Deputy Director of Research

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* Federation staff and supporters mourned the passing of Yilo Cheng, our Mental Health Project Manager, on February 5, 2007. Yilo served the community in clinical social work, managing projects dealing with post-9/11 tragedies and member and government agency collaborations. Yilo was a well-rounded individual, having much compassion towards others. He will be missed dearly.

xecutive Director

Executive Assistant

YILO CHENG, LCSW*

Mental Health Project Manager

HONG SHING LEE, LMSW







Asian American Federation of New York

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